

MEMO

To: Mary Jane Michael, Planning Committee Chair
From: Carrie Predeger, Grants Accountability Manager
Date: January 26, 2016
Re: FY15 Mental Health Trust Authority Authorized Receipts
Performance Summary

Overview

Each fiscal year, trustees approve Mental Health Trust Authority Authorized Receipts (MHTAAR) funds to be granted to state agencies for specific operating and capital projects. These entities must have legislative approval to receive and expend Trust funds. In FY15, there were 45 MHTAAR grants awarded, with a range of \$10,000 to \$955,000, for a total of \$8,517,200. Each of the 45 grants was categorized into one of the following six project categories:

Capacity Building – projects strengthening the skills, competencies and abilities of people and community organizations.

Capital Equipment – projects providing material infrastructure, develop system capacity, and support the improvement in the quality of life for Trust beneficiaries.

Data and Planning – projects supporting data collection, research and planning to aid in the development and strategic design of systems improvements.

Direct Service – projects providing services directly impacting the lives of Trust beneficiaries.

Outreach – projects providing information and/or services to individuals who might otherwise not have access.

Workforce Development and Training – projects that support strategies to build and train a capable and competent workforce serving Trust beneficiaries.

Grants by Category

Of the \$8,517,200 awarded in FY15, project funds were categorized and distributed as follows:

Capacity Building projects encompassed 20.0% (9) of the projects, and received 19.4% (\$1,647,300) of total MHTAAR funding.

Capital Equipment projects accounted for 6.7% (3) of the projects, and received 9.4% (\$800,000) of total MHTAAR funding.

Data and Planning projects made up 17.8% (8) of the projects, and received 9.3% (\$790,500) of total MHTAAR funding.

Direct Service projects represented 28.9% (13) of the projects, and received 39.1% (\$3,329,400) of total MHTAAR funding.

Outreach projects comprised 2.2% (1) of the projects, and received 2.3% (\$200,000) of total MHTAAR funding.

Workforce Development and Training projects accounted for 24.4% (11) of the projects, and received 20.5% (\$1,750,000) of total MHTAAR funding.

Grants by Focus Area

In FY15, 71.2% (32) of the 45 MHTAAR projects were associated with a specific focus area, for a total of \$6,582,100 in funding. The remaining 28.8% (13) of projects fell outside a focus area, totaling \$1,935,100 or 22.7% of total MHTAAR funds.

The breakdown of the 32 focus area-related projects is as follows:

| Focus Area | # of Projects | % of Focus Area Projects | \$ Amount | % of Total Focus Area Funding |
|---|----------------------|---------------------------------|--------------------|--------------------------------------|
| Disability Justice | 16 | 50.0% | \$1,826,000 | 27.8% |
| Housing and Long Term Services & Supports | 7 | 21.9% | \$2,470,800 | 37.5% |
| Workforce Development | 4 | 12.5% | \$1,410,000 | 21.4% |
| Beneficiary Employment & Engagement | 4 | 12.5% | \$775,300 | 11.8% |
| Bring the Kids Home | 1 | 3.1% | \$100,000 | 1.5% |
| FY15 TOTAL | 32 | 100 | \$6,582,100 | 100% |

Grants by Government Entity

For FY15, programs within the University of Alaska system and Department of Health & Social Services, Division of Behavioral Health received the greatest amount of MHTAAR grant funding, receiving 21.1% and 15% of total MHTAAR funds, respectively. Similar to previous years, the entities with the largest number of projects were the University of Alaska system and the Department of Health & Social Services, Division of Behavioral Health, with 8 and 5 grant MHTAAR-funded projects respectively.

A breakdown of the dollar amount of FY15 MHTAAR funding by government entity, percentage of total MHTAAR funding, and the number of MHTAAR grant projects by government entity for FY15 is as follows (a description of acronyms is included at the end of this section):

| Entity | \$ Amount | % of Total MHTAAR \$ | # of MHTAAR Grants |
|--------------|--------------------|----------------------|--------------------|
| UA | \$1,795,000 | 21.1% | 8 |
| DBH | \$1,279,000 | 15.0% | 5 |
| AHFC | \$850,000 | 10.0% | 1 |
| SDS | \$653,600 | 7.7% | 4 |
| GCDSE | \$597,800 | 7.0% | 4 |
| FMS | \$550,000 | 6.5% | 2 |
| DOC | \$474,400 | 5.6% | 4 |
| ACS | \$460,300 | 5.4% | 3 |
| AMHB/ABADA | \$459,000 | 5.4% | 1 |
| DPH | \$315,000 | 3.7% | 3 |
| DJJ | \$267,500 | 3.1% | 2 |
| DOT | \$250,000 | 2.9% | 1 |
| OCS | \$180,000 | 2.1% | 2 |
| PDA | \$138,800 | 1.6% | 1 |
| ACoA | \$116,800 | 1.4% | 1 |
| EED | \$100,000 | 1.2% | 1 |
| DOL | \$15,000 | 0.2% | 1 |
| OPA | \$15,000 | 0.2% | 1 |
| TOTAL | \$8,517,200 | 100% | 45 |

| Acronym | Description |
|----------------|--|
| ACoA | Alaska Commission on Aging |
| ACS | Alaska Court System |
| AHFC | Alaska Housing Finance Corporation |
| AMHB/ABADA | Alaska Mental Health Board/Advisory Board on Alcohol and Drug Abuse |
| DBH | Division of Behavioral Health, Department of Health & Social Services |
| DJJ | Division of Juvenile Justice, Department of Health & Social Services |
| DOC | Department of Corrections |
| DOL | Department of Law |
| DOT | Department of Transportation |
| DPH | Division of Public Health, Department of Health & Social Services |
| EED | Department of Education and Early Development |
| FMS | Finance and Management Services, Department of Health & Social Services |
| GCDSE | Governor's Council on Disabilities and Special Education |
| OCS | Office of Children's Services, Department of Health & Social Services |
| OPA | Office of Public Advocacy, Department of Administration |
| PDA | Public Defender Agency, Department of Administration |
| SDS | Senior and Disabilities Services, Department of Health & Social Services |
| UA | University of Alaska (includes: UA statewide, UA Anchorage, UA Anchorage – Center for Human Development) |

Numbers Served – How Much Did We Do?

When completing their annual MHTAAR status report, grantees are asked to provide the number of unduplicated individuals served or impacted by their project. This includes the number of primary and secondary beneficiaries, the number of professionals who were trained as a result of the project, as well as the number of individuals served or impacted through project outreach and education efforts.

Trust staff understands that grantees may be serving individuals that fall into more than one category. However, grantees are asked to pick the primary category that best describes the individuals served through their project. If duplication is not avoidable, grantees are asked to provide an explanation in the Executive Summary or Performance Measure section on their status report.

The number of individuals served is broken out into the following categories:

Primary Beneficiaries – the traditional Trust beneficiaries (i.e. individuals with mental illness, individuals with chronic alcoholism and other substance related disorders, individuals with traumatic brain injury resulting in permanent brain damage, individuals with Alzheimer’s disease and related dementia, and individuals with developmental disabilities).

Secondary Beneficiaries – family members and non-paid caregivers providing support to Primary Beneficiaries.

Outreach & Education – members of the general public who were the focus of outreach, prevention or education activities (i.e. health fairs, screenings, media campaigns, etc.).

Professionals Trained – individuals with professional training and various educational backgrounds who are paid to provide care and/or services to Primary Beneficiaries.

The following table provides an estimate of the number of individuals served by the FY15 MHTAAR projects. The totals from FY12 to FY14 are included for comparison. It is important to note that the number of grants and types of projects that are funded vary from year to year; thus, contributing to the variance in the total number served each fiscal year.

| FY15 MHTAAR – Numbers Served | | | | | | |
|-------------------------------------|----------------------------|------------------------------|---------------------------------|---------------------------------|------------------------------|-----------------------|
| Project Category | Primary Beneficiary | Secondary Beneficiary | Total # of Beneficiaries | Outreach & Education | Professionals Trained | Total # Served |
| Capacity Building | 451 | 0 | 451 | 212 | 104 | 767 |
| Capital Equipment | 63 | 0 | 63 | 0 | 0 | 63 |
| Data and Planning | 0 | 0 | 0 | 0 | 0 | 0 |
| Direct Service | 7,772 | 1,701 | 9,473 | 3,050 | 1,718 | 14,241 |
| Outreach | 117 | 0 | 117 | 0 | 212 | 329 |
| Workforce | 109 | 152 | 261 | 373 | 5,843 | 6,477 |
| FY15 Total Served | 8,512 | 1,853 | 10,365 | 3,635 | 7,877 | 21,877 |
| FY14 Total Served | 8,781 | 2,604 | 11,385 | 441 | 10,004 | 21,830 |
| FY13 Total Served | 11,082 | 7,235 | 18,317 | 10,959 | 9,587 | 38,863 |
| FY12 Total Served | 10,295 | 4,666 | 14,961 | 16,687 | 7,793 | 39,441 |

Project Performance – How Well Did our Grantees Do?

Trust staff evaluated the FY15 MHTAAR project status reports and assigned a color rating of *Red*, *Yellow*, *Green*, or *Other*. Projects receiving a *Red* or *Yellow* color rating are projects of concern for Trust staff. For these projects, Trust staff works with grantees where possible to address relevant project issues and concerns. One project received an *Other* rating for FY15 due to MHTAAR funds not being expended (funds were found elsewhere).

The following table represents the number and percentage of projects by color rating for the 45 MHTAAR grants awarded in FY15:

| Color Rating | TOTAL # of Projects | % of TOTAL Projects |
|--------------|---------------------|---------------------|
| Green | 37 | 82.2% |
| Yellow | 4 | 8.9% |
| Red | 3 | 6.7% |
| Other | 1 | 2.2% |
| TOTAL | 45 | 100 |

Project Impact – Is Anyone Better Off?

Of the 45 projects in FY15, 13 were categorized as *Direct Service* projects. Of the 13 projects, 10 (76.9%) reported direct beneficiary improvements in quality of life. The percentage reported for FY15 is higher than the percentage reported in FY14, indicating a general upward trend in reporting project outcomes and quality of life improvements for Trust beneficiaries.

Below for historical purposes, is the percentage of *Direct Service* projects that have reported beneficiary improvements in quality of life from FY12 to FY15.

% OF DIRECT SERVICE PROJECTS REPORTING BENEFICIARY IMPROVEMENTS

