

MEETING AGENDA

Meeting: Finance Committee
Date: August 3, 2017
Time: 12:00 PM
Location: Trust Authority Building, 3745 Community Park Loop, Anchorage
Teleconference: (844) 740-1264 / Meeting Number: 808 583 384 # / Attendee Number: #
<http://thetrust.webex.com>
Trustees: Russ Webb (Chair), Chris Cooke, Laraine Derr, Paula Easley, Mary Jane Michael, Carlton Smith, Jerome Selby

Thursday, August 3, 2017

Page No.

12:00 pm	<u>Call Meeting to Order (Russ Webb, Chair)</u> Roll Call Announcements Approve Agenda Ethics Disclosure Approve Minutes – 04/20/17	4
12:15	<u>Staff Report Items/Approvals</u> Cash Management Reports – Greg Jones, CEO (interim) Trust Land Office Operating Budget FY 19 – John Morrison, Executive Director Trust Authority Office Operating Budget FY 19 – Greg Jones, CEO (interim)	Hand-Out 14 16
1:30	<u>Approvals</u> Partnerships (FY18 Partnership Fund balance – \$ 1,053,000) • Ptarmigan Pediatrics LLC (FY18) \$ 50,000 • Juneau Community Foundation (FY18) \$ 50,000 Total Partnership Requests: \$ <u>100,000</u> Focus Area Allocations • Kenai ADRC Flex Funds / HLTSS \$ 150,000	18 23 31
2:00	Adjourn	

Future Meeting Dates

Full Board of Trustee / Planning / Resource Management / Finance 2017 / 2018 / 2019

(Updated – July 13, 2017)

- Full Board of Trustee Sep 6-8, **2017** (Wed, Thu, Fri) – Anc – TAB

- Planning Committee October 17, **2017** (Tue)
- Resource Mgt Committee October 17, **2017** (Tue)
- Finance Committee October 17, **2017** (Tue)
- Full Board of Trustee November 16, **2017** (Thu) – Anchorage – TAB

- Planning Committee January 4, **2018** (Thu)
- Resource Mgt Committee January 4, **2018** (Thu)
- Finance Committee January 4, **2018** (Thu)
- Full Board of Trustee January 24-25, **2018** (Wed, Thu) – JUNEAU

- Planning Committee April 18, **2018** (Wed)
- Resource Mgt Committee April 18, **2018** (Wed)
- Finance Committee April 18, **2018** (Wed)
- Full Board of Trustee May 9, **2018** (Wed) – TBD

- Planning Committee Jul 31- Aug 1, **2018** (Tue, Wed)
- Resource Mgt Committee August 2, **2018** (Thu)
- Finance Committee August 2, **2018** (Thu)
- Full Board of Trustee Sep 5-6, **2018** (Wed, Thu) – Anchorage – TAB

- Planning Committee October 17, **2018** (Wed)
- Resource Mgt Committee October 17, **2018** (Wed)
- Finance Committee October 17, **2018** (Wed)
- Full Board of Trustee November 15, **2018** (Thu) – Anchorage – TAB

Future Meeting Dates

Full Board of Trustee / Planning / Resource Management / Finance 2017 / 2018 / 2019

(Updated – July 13, 2017)

- Planning Committee January 3, 2019 (Thu)
- Resource Mgt Committee January 3, 2019 (Thu)
- Finance Committee January 3, 2019 (Thu)
- Full Board of Trustee January 30-31, 2019 (Wed, Thu) – JUNEAU

- Planning Committee April 17, 2019 (Wed)
- Resource Mgt Committee April 17, 2019 (Wed)
- Finance Committee April 17 2019 (Wed)
- Full Board of Trustee May 8, 2019 (Wed) – TBD

- Planning Committee Jul 30-31, 2019 (Tue, Wed)
- Resource Mgt Committee Aug 1, 2019 (Thu)
- Finance Committee Aug 1, 2019 (Thu)
- Full Board of Trustee Sep 4-5, 2019 (Wed, Thu) – Anchorage – TAB

- Planning Committee October 16, 2019 (Wed)
- Resource Mgt Committee October 16, 2019 (Wed)
- Finance Committee October 16, 2019 (Wed)
- Full Board of Trustee November 14, 2019 (Thu) – Anchorage – TAB

ALASKA MENTAL HEALTH TRUST AUTHORITY
FINANCE COMMITTEE MEETING

April 20, 2017

2:33 p.m.

Taken at:

Alaska Mental Health Authority
3745 Community Park Loop, Suite 120
Anchorage, Alaska 99508

OFFICIAL MINUTES

Trustees present:

Larry Norene, Chair
Carlton Smith
Laraine Derr (via Speakerphone)
Jerome Selby
Paula Easley
Russ Webb
Mary Jane Michael

Trust staff present:

Greg Jones
Jeff Jessee
Steve Williams
Miri Smith-Coolidge
Valette Keller
Carley Lawrence
Amanda Lofgren
Mike Baldwin
Luke Lind
Katie Baldwin-Johnson
Heidi Wailand
Carrie Predeger

Trust Land Office present:

John Morrison
Wyn Menefee
Aaron O'Quinn

Also participating:

Kathy Craft; Chris Cooke; Randall Burns; Heather Ireland; Jacqueline Bergstrom; Alan Green;
DeWayne Harris; Francine Harbour (via Speakerphone); Elaine Dahlgren; Paul Jones (via

Speakerphone); Trina Sears (via Speakerphone); Gary Wolf (via Speakerphone); Dave Kulper (via Speakerphone); Angela Larson (via Speakerphone).

PROCEEDINGS

CHAIR NORENE calls the Finance Committee to order and notes that all trustees are present or on the phone. He asks for any announcements. There being none, he moves to the agenda and asks for any changes.

MR. JONES states that the CEO report is not on the agenda. The agenda has 45 minutes reserved for a detailed CEO report. He asks if it is wanted today.

CHAIR NORENE replies that a report would be appreciated to keep the trustees up to date. He asks for any other changes to the agenda. There being none, he asks for any ethics disclosures. There being none, he moves to the minutes of January 5, 2017.

TRUSTEE WEBB makes a motion to approve the agenda, as amended.

TRUSTEE SMITH makes a motion to approve the minutes of January 5, 2017.

TRUSTEE WEBB seconds.

There being no objection, the motions are approved.

CEO REPORT

MR. JONES states that the legislative confirmation process is stalled, and the Governor's office does not know when it will proceed. He moved on to the TLO's community outreach with John Morrison working with the Trust's PR contract. The understanding is that the TLO would RSA the money back into the Trust budget. He continues that the Commissioner of DNR held that RSA up, decided to not release it, and wanted to wait for the Legislature to resolve the PR contract controversy in Juneau. He states that, in his opinion, it is not acceptable for the Commissioner of DNR to take Trust funds away from the Trust; which is what is being done. He continues that he contacted the Trust's outside legal counsel, who agrees. The Attorney General's office was contacted and agrees and has contacted the Commissioner's office to see if this can be resolved quickly. He adds that the goal in this case would be to meet with DNR and resolve this as soon as possible; if not, then go to the Governor; and then go to the courts. He moved to the CFO hire status. Round two of the interviews have been completed with some promising opportunities. He continues that the hope is to decide within ten days. He moves to the CEO search firm contract status. He states that several proposals have been received, and the selection committee met. There are two trustees on the committee, and Trustee Smith strongly felt that more trustees should be involved in the selection. There was no action taken to review the proposals, which all met the minimum requirements and have been deemed to be responsive to the RFP. He adds that an email was sent to all the trustees to see if they can participate in a future meeting, which will need to be advertised. Then, we will move into executive session at that meeting. He continues that the organizational assessment contract was unsuccessful in attracting proposals that the selection committee felt was acceptable to use under the abbreviated

process. He adds that at the current sequencing it will not be completed before a CEO is hired. He states that he is not convinced that continuing this project is necessary. It seems that the problems that are trying to be resolved with this organizational study are not as complex as the scope that was proposed would indicate. One major organizational issue that is not resolved is the relationship of the Trust to the Trust Land Office, which has been the source of most of the controversy that has happened among the board. He continues that he is working on a framework, a rewrite of the governance documents, to reflect the way the organization is changing organically. He adds that he needs some guidance on whether to continue pursuing an organizational evaluation, and how to address these things.

TRUSTEE EASLEY agrees to not going ahead with the major organizational review, believing that pursuing the framework would manage it quite well, especially with what has already been accomplished.

TRUSTEE WEBB states that he would like, for the May meeting, an outline of what the process looks like; the contracts that will be needed to carry that forward; any aspects of what was outlined as the organizational review that would be met through that process; and any parts of that that would establish the kind of comprehensive governance structure that the Permanent Fund has.

MR. JONES replies that he can get a framework and an outline of the process readied for the May meeting.

CHAIR NORENE suggests, because of the notice requirements, scheduling a minimum of two-day meetings every two weeks for the next couple of months to get this done.

MR. JONES asks Jeff Jessee to give a brief legislative update on the program-related legislation.

MR. JESSEE begins with the budget and states that they are close in terms of a Permanent Fund agreement between the two bodies. The House is determined to have a change in tax credits in the oil tax scheme; and the Senate is insisting on a spending cap and no broad-based tax. He continues that there are various milestone deadlines that push toward a decision, and we will see by mid-May how it will work with the 120-day session. He adds that there is a rumor that there will be a confirmation hearing next week. He states that, as far as the budget goes, there is quite a list of items of concern to the beneficiaries that have differences between the House and the Senate. He explains the Pioneer Homes issue in greater detail and continues going through the effects of the budget on the Trust. He shares that the Legislature, as a body, has made the shift from thinking the problem is just Medicaid into recognizing that the problem is healthcare costs in general.

TRUSTEE SELBY thanks Jeff Jessee, on behalf of the Mental Health Trust beneficiaries in the state of Alaska, for being their tireless advocate for the last 30 years, doing an amazingly excellent job on their behalf. He wishes him the best of luck with the University system.

(Applause.)

CHAIR NORENE moves to approvals.

AMHTA

3

Finance Committee
Meeting Minutes
April 20, 2017

APPROVALS

TRUSTEE WEBB makes a motion that the Finance Committee recommends that the Trust Authority board of trustees concur with the recommendation to approve the incremental building expenditures totaling \$8,538,000 budgeted for the fiscal year 2018 to be paid by the property manager from rents and other income collected from the properties.

TRUSTEE MICHAEL seconds.

There being no objection, the motion is approved.

TRUSTEE WEBB makes a motion that the Finance Committee recommends that the Trust Authority board of trustees approve funding the expenditures for the noninvestment program-related real estate Trust-funded properties in the amount of \$555,000 for the fiscal year 2018, which appropriation shall not lapse.

TRUSTEE MICHAEL seconds.

There being no objection, the motion is approved.

TRUSTEE WEBB makes a motion that the Finance Committee recommends that the Trust Authority board of trustees instruct the CEO to transfer up to \$555,000 to the third-party property manager, as requested by the TLO, for management of the noninvestment program-related real estate Trust-funded properties and capital improvements to the noninvestment program-related real estate rent-funded properties.

TRUSTEE MICHAEL seconds.

There being no objection, the motion is approved.

FOCUS AREA ALLOCATIONS

ALZHEIMER'S RESOURCE OF ALASKA ITEM

MS. LOFGREN states that this is an important strategy within long-term services and support. In March of 2014, CMS significantly changed the final rule around home- and community-based services, requiring that individuals who received home- and community-based service waivers receive case management from an entity that is conflict-free; meaning they do not provide direct services. She appreciates Alzheimer's willingness to come forward and take on the case management. She states that this grant and partnership with Alzheimer's maintains the stability within the existing system so capacity is not lost while continuing to look at how to reform and modify care coordination going forward. She continues that a unified vision was created for home- and community-based services for individuals with intellectual and developmental disabilities, a person-centered system. This capacity is important because it recognizes that 600 to 800 new individuals are going to need care coordination in a conflict-free environment. She

adds that Alzheimer's Resource of Alaska has committed, from their reserves, 50 percent of the projected operational loss, and asks for a match for FY18.

TRUSTEE SELBY makes a motion that the Finance Committee approve a \$150,000 FY18 housing focus area allocation to the Alzheimer's Resource of Alaska for person-centered care coordination.

TRUSTEE MICHAEL seconds.

There being no objection, the motion is approved.

DISABILITY JUSTICE

MR. WILLIAMS points out the memo outlining the focus area allocation for continued support of the re-entry coalition coordinators in Anchorage, Mat-Su, Fairbanks, and Juneau. He states that this is a continuation of the work that is being done, not only in those four communities, but through other resources starting up in Dillingham, Kenai, and Nome. He continues that this is part of looking at the roles of these coalitions to pull together the provider system, the services systems to look at what is available in those communities for re-entrants. He states that the coalition, as an entity, is not providing services. It is made up of many different organizations that provide services, supports, connections to healthcare and keeps the pulse on what is going on and helping identify what needs to be expanded, what is missing and needs to be implemented from the ground up.

TRUSTEE SMITH asks if this amount of money will be adequate for each one of the groups.

MR. WILLIAMS replies yes. It is funding the position to keep that group moving forward, talking, convening, and informing the larger system as well as the community system and individuals.

The discussion continues.

TRUSTEE SELBY makes a motion that the Finance Committee approve a FY18 focus area allocation in the amount of \$400,000 from the FY18 local re-entry coalition coordinator line and the criminal justice reform and reinvestment priority/disability justice focus area funds to support local re-entry coalition coordinators and coalition capacity development. The funds for this project will be allocated to the fiscal agents listed in the table.

TRUSTEE MICHAEL seconds.

There being no objection, the motion is approved.

SUBSTANCE ABUSE PREVENTION AND TREATMENT

MS. BALDWIN-JOHNSON acknowledges Jacqueline Bergstrom, director of health services with Tanana Chiefs Conference, and Angela Larson, a grant writer that assisted in putting this proposal together. She states that at the last special board meeting the sobering center and a

combined solution for trying to support the organization and moving forward to be able to occupy the facility and implement the sobering center program was discussed. The decision was to invite them to submit a funding proposal that would assist with the costs for a period of three years. She continues that this proposal includes those costs, and with staff support and pairing up with the period of the grant funding from the Division, it will give them time to move forward on their sustainability plan.

A brief discussion ensues.

TRUSTEE SELBY makes a motion that the Finance Committee approve a \$210,939 FY17 substance abuse prevention and treatment focus area allocation to the Tanana Chiefs Conference for the Fairbanks sobering center lease cost assistance request.

TRUSTEE MICHAEL seconds.

There being no objection, the motion is approved.

BPI, BENEFICIARY EMPLOYMENT

MS. BALDWIN-JOHNSON states that this request is seeking trustee approval for the FY18 grant allocations recommendations to the current beneficiary programs for operating funding. There are six current programs remaining: CHOICES; Alaska Youth and Family Network; the Web. She continues that these organizations provide very critical services to the beneficiaries, which she explains. They are helping people move between the complicated siloed systems who need their voice to help with those transitions.

A discussion ensues.

TRUSTEE EASLEY makes a motion to recommend approval of \$1,195,370 beneficiary employment and engagement focus area allocation for the purpose of FY18 BPI grant funding.

TRUSTEE SELBY seconds.

There being no objection, the motion is approved.

PARTNERSHIP GRANTS

COOK INLET TRIBAL COUNCIL FOR THE ERNIE TURNER CENTER

MR. JESSEE states that this project is the result of the Cook Inlet Tribal Council transferring the current Ernie Turner Center over to Southcentral Foundation. The Cook Inlet Tribal Council has been running detox and residential treatment in that building. In the transferring process, Cook Inlet Tribal will no longer be able to provide the residential part of what they were doing in that building. They have secured additional property and are proposing a \$5.5 million residential treatment program that would expand services and relocate them. This would serve Alaska Native and non-Native individuals, and they are requesting \$75,000 from the Trust to be part of the FF&E costs associated with the project.

MR. BURNS clarifies that the Ernie Turner Center could continue to provide residential treatment in that facility. Approval was received from CMS that would allow that building to be used by CITC to continue providing residential treatment there. They are not being forced out, but they just need better space. He states that they are working hard to remove the IMD exclusion and get that waived so there can be more beds to serve more people.

A discussion ensues.

TRUSTEE MICHAEL makes a motion that the Finance Committee recommend approval to the Full Board of Trustees for a \$75,000 FY18 partnership grant request to the Cook Inlet Tribal Council for the Ernie Turner Center, Eklutna.

TRUSTEE SELBY seconds.

There being no objection, the motion is approved.

ANCHORAGE SCHOOL-BASED HEALTH CENTER EXPANSION

MS. BALDWIN-JOHNSON acknowledges Heather Ireland who was involved in the Begich school health clinic that the Trust was actively involved in supporting through funding. She states that the health clinic provides not only health-care services, but screening, mental health services. It is a partnership with Volunteers of America, the school district, and Christian Health Associates.

MS. IRELAND states that the school board approved the growth and, with the potential support from the Trust, will allow continuing the treatment in the school setting. The initial target is providing counseling at Bartlett High School. She explains in greater detail.

A discussion ensues.

TRUSTEE SELBY makes a motion that the Finance Committee recommend approval to the Full Board of Trustees for \$57,000 FY18 partnership grant request to Christian Health Associates for the Anchorage School-Based Health Centers' expansion project.

TRUSTEE MICHAEL seconds.

There being no objection, the motion is approved.

CIRCLE HEALTH CLINIC

MR. WILLIAMS states that this is a partnership request to assist with the construction of a health clinic in Circle. He continues that the health clinic in Circle is woefully inadequate and is not in the best condition to care for beneficiaries or any Alaskans with health care needs. Historically, the Trust has worked with the tribal organizations around the state in terms of health clinics and making sure there is health-care space in the clinics so that beneficiaries and others are able to access primary health and behavioral health needs at a local level. The other element

is that these health clinics will often use video conferencing and telehealth capacities to reach back into the hub of the region for additional services and supports.

TRUSTEE MICHAEL makes a motion that the Finance Committee recommends the approval of a \$50,000 FY18 partnership grant with the Tanana Chiefs Conference for the Circle Health Clinic.

TRUSTEE EASLEY seconds.

Trustee Norene objects. There being no other objections, the motion is approved.

THE CHILDREN'S PLACE PARTNERSHIP

MS. BALDWIN-JOHNSON states that The Children's Place is in the Valley and provides evaluation of children that have experienced abuse, neglect and different traumas. They work in very close partnership with the Office of Children's Service; those families that typically have engagement of Child Protective Services. She continues that forensic interviews, medical examinations, family advocacy and mental health services are provided to the individuals that they serve. She adds that the plan for the facility replacement has been worked on for more than ten years, and over \$600,000 has been raised toward this project. There is also a plan for the capital campaign of another \$350,000; and Mat-Su Health Foundation has committed \$750,000. She states that the land has been donated for the project, and the engineering plans are complete.

MS. SEARS states, in terms of the next steps, there is a request for qualifications out to contractors, and to pick a handful of contractors that submit to bids.

MS. JONES adds that there is a grant budget from Rasmuson Foundation for \$500,000. Once the notification on this funding is received, the construction project will move forward, with move-in being next spring or earlier.

A brief discussion ensues.

TRUSTEE SELBY makes a motion that the Finance Committee approve a \$25,000 FY18 partnership grant request to The Children's Place for the sustainable facility for The Children's Place project.

TRUSTEE MICHAEL seconds.

There being no objection, the motion is approved.

CHAIR NORENE states that that completes the agenda for the Finance Committee.

TRUSTEE SELBY commends Carley Lawrence for an outstanding job of PR for this organization. He states that the ads are very well done and present the Authority in a very positive light. More important is that a couple of average citizens that saw those ads went and looked up the Trust Web site and were duly impressed with all the things that the Trust Authority is doing. He continues that the second thing is that at the end of this stakeholder process for the

budget, he is looking for a list of three or four things that are next on the list; needs that are identified by the stakeholders that are not in the budget. He adds, that gives an opportunity over the next year to be thinking about alternative ways of meeting some of those needs.

MR. JONES replies that that is easily done.

CHAIR NORENE asks for any further comments. There being none, he asks for a motion to adjourn.

TRUSTEE MICHAEL makes a motion to adjourn the meeting.

There being no objection, the Finance Committee meeting is adjourned.

(Finance Committee Meeting adjourned at 4:10 p.m.)

	Item Type	Proposal	Organization	Page #	Proposed Motion
1	Operating Budget - TLO	FY19 Operating Budget	Trust Land Office	14	The Finance Committee recommends that the full board of trustees approve the Trust Land Office operating budget for FY19 in the amount of \$4,568,400.
2	Operating Budget - Trust	FY19 Operating Budget	The Trust	16	The Finance Committee recommends that the full board of trustees approve the FY19 Trust Authority Office MHT Admin budget of \$4,135,331.
3	Partnership	Alaska Center for Developmental and Behavioral Pediatrics	Ptarmigan Connections, LLC	18	Approve a \$50,000 FY18 partnership grant to Ptarmigan Pediatrics for the Alaska Center for Developmental and Behavioral Pediatrics project.
4	Partnership	Juneau Trauma Informed School Pilot	Juneau Community Foundation	23	Approve a \$50,000 FY18 partnership grant to the Juneau Community Foundation to support the Juneau Trauma Informed School Pilot.
5	Focus Area Allocation	Home and Community Based Services Flex Funds	Kenai Peninsula Independent Living Center	31	Approve a \$150,000 FY18 Housing & Long Term Services and Supports focus area allocation to the Kenai Peninsula Independent Living Center for the Home and Community Based Services Flex Funds project.



To: Russ Webb, Chair
Finance Committee
From: Sarah Morrison
Date: 8/3/2017
Re: FY19 Operating Budget – Item 1
Fiscal Year: 2019
Amount: \$4,568,400

Approval

Proposed RMC Motion:

“The Finance Committee recommends that the Trust Authority board of trustees approve the Trust Land Office operating budget for FY19 in the amount of \$4,568,400.”

Background:

The Trust Land Office (TLO) seeks the recommendation of the Finance Committee for the FY19 operating budget. Please see Exhibit 1 for a breakout of the proposed line items.

For this request, the FY19 budget is compared against the FY18 budget *as approved by the board of trustees* with the addition of an increment for health insurance costs. This is a critical distinction. The FY18 budget approved by trustees was decreased \$54,700 by the Governor prior to transmission to the Legislature. Additionally, the Legislature decreased the TLO budget by \$250,000. The FY18 TLO budget was, in total, reduced by \$304,700. The amounts used in this request assumes that the TLO’s FY18 budget will be made whole through the Legislative Budget and Audit Committee process or the FY18 Supplemental process.

	A	B	C	D	E	F
1	TRUST LAND OFFICE OPERATING BUDGET					
2	FY19 Proposal					
3						
4						
5	Expenditures	FY17 YTD¹	FY18 Original²	FY18 Mgmt Plan²	FY19 Proposal	18-19 %
6	Personal Services	2,598,039	2,998,300	2,842,025	2,956,025	4.0%
7	Travel	80,851	143,000	143,000	133,000	-7.0%
8	Services	1,115,382	1,320,600	1,476,875	1,423,350	-3.6%
9	Supplies	65,805	56,000	56,000	56,000	0.0%
10	Capital Outlay					
11	Total	3,860,077	4,517,900	4,517,900	4,568,375	1.1%
12				Total FY19 Increase	50,475	
13						
14	Revenue	FY17 YTD¹	FY18 Original	FY18 Mgmt Plan	FY19 Proposal	18-19 %
15	Principal	6,532,701	4,973,000	4,843,750	5,310,625	9.6%
16	Income	5,167,825	4,209,850	4,247,757	4,298,382	1.2%
17	Total	11,700,526	9,182,850	9,091,507	9,609,007	5.7%
18						
19	(1) Numbers are not final until the reappropriation period ends August 31					
20	(2)\$44,300 added to Gov's Budget for health insurance cost increase. Added to FY18 Original and FY18 Mgmt. Plan					
21	<i>FY19 TRUSTEE REQUEST:</i>					
22	<i>\$4,568,400</i>					
23						
24						
25						
26						
27	Personal Services*					
28	Merit Inc. Est (Includes Benefits)	66,358				
29	LTNP to FTP	47,642				
30	Total Increase	114,000				
31	*Request is rounded					

MEMO

To: Russ Webb, Finance Committee Chair
From: Greg Jones, Interim Chief Executive Officer
Steve Williams, Chief Operating Officer
Date: August 3, 2017
Re: FY 19 Trust Authority Office MHT Admin budget request

REQUESTED MOTION:

The Finance Committee recommends that the full board of trustees approve the FY19 Trust Authority Office MHT Admin budget of \$4,135,331.

BACKGROUND

Staff have prepared the FY19 Trust Authority Office administrative budget and requests the Finance Committee recommend that the full board of trustees approve the MHT Admin funds as detailed in the attached document.

The budget does not include any provision for Cost of Living Allowances (COLA) for FY19, nor does it include any increase for health insurance costs under the expectation. If in the event the executive branch negotiates labor contracts and the same increase is extended to exempt staff and the Legislature agrees then Trustees will be requested to ratify the increases.

	A	B	C	D	F	G
1	MENTAL HEALTH TRUST AUTHORITY					
2	OPERATING BUDGET					
3						
4						

5	EXPENDITURES	FY17 Actual + Projected as of July 7, 2017	FY18 Trustee Approved Budget	FY18 Mgmt Plan	FY19 Proposal	18-19 %age (Mgmt Plan)
6	1000 Personal Services	2,285,035	2,704,635	2,672,835	2,937,106	9.9%
7	Personal Services	2,241,385	2,645,315	2,614,379	2,878,650	
8	cell phones	2,664	4,320	3,456	3,456	
9	Honorarium	40,986	55,000	55,000	55,000	
10	2000 Travel	97,719	127,000	127,000	127,000	0.0%
11	3000 Services	995,017	1,000,565	1,000,565	1,009,225	0.9%
12	4000 Supplies	136,991	67,000	67,000	62,000	-7.5%
13	5000 Equipment	77,088	0	0	-	
14	Total	3,591,851	3,899,200	3,867,400	4,135,331	6.9%

17	FUNDING SOURCE	FY17 Mgmt Plan	FY18 Budget	FY18 Mgmt Plan	FY19 Request
18	MHT Admin	3,782,200	3,899,200	3,867,400	4,135,331
19					

20

21

22

23 **FY2019 Request to TRUSTEES:**

24

25 **\$4,135,331**

26

27

28

29

30

31

MEMO

To: Russ Webb, Finance Committee Chair
Date: August 3, 2017
Re: FY18 Partnership Request
Fiscal Year: 2018
Amount: \$50,000.00
Grantee: Ptarmigan Connections, LLC
Project Title: Alaska Center for Developmental and Behavioral Pediatrics

REQUESTED MOTION:

Approve a \$50,000 FY18 partnership grant to Ptarmigan Pediatrics for the Alaska Center for Developmental and Behavioral Pediatrics project.

Assigned Program Staff: **Katie Baldwin-Johnson**

STAFF SYNOPSIS

Ptarmigan Connections is a neurodevelopmental pediatric clinic which is located in the Mat-Su Valley and will be specializing in pediatric care for infants and children with special healthcare and developmental care needs. Services are targeted to children predisposed to healthcare and behavioral health challenges, with the goal of early detection, screening and identification of developmental challenges. Identification through screening will lead to more timely and thorough evaluation, diagnosis and connection to the most effective treatments and services as early as possible. This ultimately ensures services are provided during the most critical stages of child development thereby increasing opportunity to maximize positive outcomes for children with complex needs.

The requested funds are related to one-time startup costs to aid in the purchase of necessary testing and assessment materials, therapy supplies, parent/family educational materials and health information. This project proposes to serve a substantial number of children and families within the first year (est. 300).

The Trust has been engaged and supportive of behavioral health integration practice transformation with health centers around the state through initiatives funded directly by the Trust as well as through behavioral health and healthcare reform. Our partners at Mat-Su Health Foundation are a committed partner in funding startup costs and have shared that the proposed services will meet a critical gap in early detection and access to services for children and families

with complex needs in the valley. This request is consistent with the efforts of the Trust to encourage healthcare providers to incorporate standardized screening and assessment as well as behavioral health intervention and supports.

The following is excerpted from the prospective grantee's application.

PROJECT DESCRIPTION

Our mission is connecting families to diverse healthcare professionals, advancing knowledge, and bridging resources for responsive care. We plan to offer the following services to the Mat-Su community:

- High risk infant follow-up
- Developmental consultations (including diagnostic team evaluations for Fetal Alcohol Spectrum Disorders and Autism Spectrum Disorders)
- Premie graduate services
- Psychological and neuropsychological evaluations
- Medical follow-up for established patients with special needs
- Rehabilitation services

Ptarmigan Connections is a neurodevelopmental pediatric clinic that offers patient centered behavioral and developmental pediatric services to the Mat-Su Valley Region. The goal of the clinic is to provide comprehensive evaluation services and treatment recommendations for children experiencing developmental disabilities, traumatic brain injuries, issues related to premature birth, and complications related to mental illness. Currently, pediatricians are hesitant to diagnose neurodevelopmental conditions such as Fetal Alcohol Spectrum Disorders or Autism Spectrum Disorders, however best practice and best outcomes rely upon early detection and treatment. Other neurodevelopmental disorders, such as Attention Deficit Hyperactivity Disorder, may be over diagnosed. A comprehensive evaluation might point to a more accurate diagnosis of a learning disorder, an anxiety disorder, or a complicated presentation of multiple issues including those resulting from brain injury. Early diagnosis, diagnostic clarification, and comprehensive and integrated treatment recommendations can provide better prevention, more targeted and effective rehabilitation plans, and ultimately better outcomes for Mental Health Trust beneficiaries.

The group hopes to serve 300 children and their families in the first year of operation. Services provided include:

- High-risk Infant Follow-up will help families monitor their child's development through the first three years of life. Parents will receive an individualized assessment and action plan for enhancing their child's progress.
- Premie Graduate Services are for children who had birth--related complications, and will extend through age 18 years. Any behavioral, developmental, or educational concerns will be addressed at the earliest sign of difficulty.

- Developmental Consultations (including for Fetal Alcohol Spectrum and Autism Spectrum Disorders) offer assistance to families and healthcare providers with questions about a child's development or behavior. Developmental screening will be integrated with medical evaluations and developmental and behavioral assessments to prepare detailed reports for the family and healthcare team that will include treatment recommendations and referrals for community--based services.
- Neuropsychological Evaluations (including those for assessment of traumatic brain injury) are available for children who experience:
 - Difficulty in learning, attention, behavior, socialization, or emotional control;
 - A disease or inborn developmental problem that affects the brain in some way; or
 - A brain injury from an accident, birth trauma, or other physical stress.
- Medical Follow-up for Established Patients with Special Needs: our pediatrician acts as the first contact and principal point of continuing care within the healthcare system for some children.
- Rehabilitation Services are limited, but include psychiatric medication management, speech and language therapy, and behavioral health counseling for children and parents.
- Training and Consultation are available to provide education related to neurodevelopmental conditions, advocate for early assessment and intervention, and enhance skills in trauma informed treatment.

Ptarmigan Connections has enthusiastic support from the community. Agreements are currently in place or are in the process of being clarified to work in collaboration with the following groups:

- Health Quest for integrated Fetal Alcohol Spectrum Disorder team
- Mat-Su Services for Children and Adults for integrated developmental screening for high risk infant follow-up and preemie graduate services
- Mat-Su Borough School District for trauma informed training program
- R.O.C.K. Mat-Su for prevention and intervention programs

EVALUATION CRITERIA

How much did we do?

We will document the number of neurodevelopmental evaluations completed in the first year.

How well did we do?

We will contact each family one month post evaluation to find out if they are connected to recommended services.

Numerator: number of children connected to at least one recommended service one month post neurodevelopmental evaluation

Denominator: number of children who completed a neurodevelopmental evaluation

Our goal is >80%

Is anyone better off?

We will ask families a Likert scale question regarding their knowledge of local neurodevelopmental resources during our one month follow-up phone calls.

SUSTAINABILITY

Ptarmigan Connections will be a continuing benefit to the Mat-Su Valley. Once established, the project will be sustained primarily through fee for service billing. The requested funds are related to one time startup costs of a pediatric neurodevelopmental clinic. Testing and assessment materials, play therapy supplies, parent educational materials, medical record keeping and billing software all have initial costs. Ptarmigan Connections will set aside money for the update or replacement of outdated materials in the future from fee for service activities.

WHO WE SERVE

The primary beneficiary group for the Ptarmigan Connections project is children experiencing developmental disabilities, and the prevention of developmental disabilities through early intervention. Secondary beneficiary groups include children with traumatic brain injuries, and those with complications related to mental illness. Ptarmigan Connections will engage in three levels of care for these beneficiary groups.

Primary prevention strategies include High-risk Infant Follow-up and Premie Graduate Services. Both programs will provide medical services, education to families, regular screening for early identification of potential delays, and referral services to community supports. The goal of primary prevention is to prevent problems through education and support, and when problems cannot be prevented, to refer to interventions at the earliest possible time to ensure difficulties are ameliorated.

Secondary prevention strategies include Developmental Consultations, Psychological and Neuropsychological Evaluations, and Medical Follow-up for Established Patients with Special Needs. Secondary level assessments that include developmental screening, medical evaluation, neuropsychological testing, input from the educational system, and parent support and education lead to the best possible outcomes. Interventions can be targeted to areas of strength within the child, the family system, the school, and the community; a collaborative approach in the design and implementation of treatment goals leads to more consistent follow through over a wider range of situations with a greater number of social supports.

Ptarmigan Connections will make referrals to community resources. When limited rehabilitation is provided on site, services will be provided from a trauma informed perspective.

ESTIMATED NUMBER OF BENEFICIARIES SERVED EXPERIENCING

Mental Illness:	25
Developmental Disabilities:	250
Traumatic Brain Injuries:	25
Secondary Beneficiaries(family members or caregivers providing support to primary beneficiaries):	50
Non-Beneficiaries:	250
Number of people to be trained	50

BUDGET

Supplies Costs	\$50,000.00
----------------	-------------

Supplies Narrative:	<ul style="list-style-type: none"> * Psychological Testing Supplies: \$ 7,000 (this includes standardized developmental, intellectual, executive functioning assessment kits, etc.) * Speech and Language Supplies: \$ 3,500 (this includes standardized speech and language testing kits, feeding and swallowing supplies, etc.) * Group Therapy/Parent Education Supplies: \$4,200 (this includes, chairs, tables, a white board and a projector, etc.) * Play Therapy/Developmental Screening Supplies: \$7,000 (this includes toys, art supplies, games, storage furniture for the toys, etc.) * Medical Records and Billing Software and subscriptions \$ 15,000 * Medical Equipment: \$ 13,300 (this includes three exam tables, a wheelchair accessible scale, otoscopes/ophthalmoscopes, hearing screener, vision screener, BP cuffs, etc.)
----------------------------	---

Total Amount to be Funded by the Trust	\$50,000.00
Total Amount Funded by Other Sources	\$246,200.00

Other Funding Sources	
Ptarmigan Connections, LLC - SECURED	\$200,000.00
Mat-Su Health Foundation - SECURED	\$46,200.00
Total Leveraged Funds	\$246,200.00

MEMO

To: Russ Webb, Finance Committee Chair
Date: August 1, 2017
Re: Partnership – Juneau Trauma Informed School Pilot
Fiscal Year: FY18
Amount: \$50,000
Grantee: Juneau Community Foundation
Project Title: Trauma Informed School Pilot – Testing the CLEAR Model in Alaska

REQUESTED MOTION:

Approve a \$50,000 FY18 partnership grant to the Juneau Community Foundation to support the Juneau Trauma Informed School Pilot.

Assigned Program Staff: **Katie Baldwin-Johnson**

STAFF SYNOPSIS

The Trust has been engaged with efforts within the state along with multiple partners including the Alaska Mental Health Board, DHSS/DBH, Alaska Children’s Trust, Mat-Su Health Foundation, the University and others on the issue of childhood trauma and the recognition of the harmful impacts on health and behavioral health outcomes for children experiencing multiple traumas during key developmental years. This work is based on the scientific research focused on Adverse Childhood Experiences which resulted in the Trust contributing both funding and staff resource to a number of initiatives focused on data collection about prevalence of adverse childhood experiences within the state and targeted efforts to build community knowledge about ACEs and evidenced based strategies to increase resilience and improve outcomes for children.

One strategy that has come to fruition for limited schools in Alaska, is the Trauma Informed Schools initiative, which focuses on transforming school policy and approach in working with children that have challenging behaviors in a manner that substantially reduces or eliminates school or educational practices that inadvertently or unknowingly re-traumatize children that have already experienced traumatic events in their lives (death of a parent, domestic violence in the home, a parent or parents with mental illness or a chronic drug or alcohol addiction among a few).

Research shows that the most effective way to intervene effectively with child trauma is to address and improve their ability to self-regulate. Schools are becoming an ideal setting to provide this intervention for the child, given the lengthy duration of time and opportunity a

given school may have with a child to aid them in developing self-regulation abilities.

While there is effort to implement trauma informed practices in a few areas within the state, this project will pilot a model which shows particular promise for Alaska, which is the CLEAR model (Collaborative Learning for Educational Achievement and Resilience), developed at Washington State University. There is an expanded definition of the CLEAR model in the body of the proposal below.

This project would propose piloting the CLEAR model at three Juneau school sites, which will allow for effective coordination with the Department of Education and Early Development (DEED) and Alaska Association of School Boards on implementation and evaluation. The selected schools are Title I schools, with families in the low socioeconomic status, significant issues of trauma as well as poor educational outcomes/performance. The Trust and Juneau Community Foundation with funding from DBH will jointly support the first year and based upon success of the first year, will consider opportunities for supporting future phases of the effort.

The following is excerpted from the prospective grantee's application.

PROJECT DESCRIPTION

Vision of the Work:

The recent research in Alaska indicates that Adverse Childhood Experiences (ACEs) are common and exceed most other state and national rates¹. These early experiences have been shown to have significant impacts on the developing brains of children and on their ability to be successful in school. An extensive review of relevant research determined that the most effective intervention for childhood trauma was to boost children's self-regulation skills². It has become clear that one key place to positively intervene in the lives of children who have been traumatized is in the schools.

There have been several efforts to develop Trauma Informed School programs across the country. One model which shows particular promise for Alaska is the Collaborative Learning for Educational Achievement and Resilience³ (CLEAR) model, developed at Washington State University. This time limited (three year) process is designed to transform the school's staff

¹ See a broad analysis of Alaskan ACEs data at Overcoming ACEs in Alaska: <http://dhss.alaska.gov/abada/ace-ak/Pages/default.aspx>

² Toxic Stress and Self-Regulation Reports, Office of Planning, Research and Evaluation, Administration for Children and Families: <https://www.acf.hhs.gov/opre/research/project/toxic-stress-and-self-regulation-reports>

³ See the CLEAR Brochure <http://ext100.wsu.edu/clear/wp-content/uploads/sites/55/2015/09/CLEAR-BROCHURE-8-20-2015-for-web.pdf>

from trauma awareness to trauma practice. It is steeped in the ARC⁴ (Attachment, Self-Regulation, and Competence) framework which was developed by Bessel van der Kolk and his colleagues at The Trauma Center at the Justice Resource Institute in Boston as well as the Alaska Child Trauma Center⁵ in Anchorage. The CLEAR model also uses existing community resources to build a unique solution for each school. This component of flexibility in the model is especially important in Alaska with our unique communities which have very distinct differences in resources.

The proposed project would use two schools as sites for implementing the program in Alaska. Because it is the desire of our team to thoroughly evaluate this project and use the outcomes to inform statewide policy development in Alaska - it is proposed that these schools be located in Juneau. This allows for the Department of Education and Early Development which is entirely located in Juneau to be involved in the process and have access to CLEAR personnel who have assisted other states with statewide policy work. Additionally, the University of Alaska's Education Department which is responsible for educating the next generation of Alaska's teachers is also now fully located in Juneau. This location therefore gives the added benefit of their consultation and participation in the process. Additionally, the Alaska Association of School Boards' staff is located in Juneau and their work over the past 20+ years developing the Initiative for Community Engagement⁶ (ICE) promoting positive school climate and connectedness also would be a resource for developing an effective Alaska model of trauma informed schools. Key Division of Behavioral Health staff from which much of Alaska's decade long trauma informed efforts have emanated are also located in Juneau. Given the current fiscal crisis limiting travel for the state evaluation teams and policy developers is important.

The populations to be addressed are children in two schools where significant issues of trauma and subsequent poor educational results are prevalent. These children's families have low socioeconomic status (Title I school) and the schools have a large portion of Alaska Native children. The process for selecting the schools would be by agreement of the funders, CLEAR and the local school district.

Qualifications and Experience

Juneau Community Foundation: The Juneau Community Foundation has expertise in managing funds to support various programs, projects and organizations. The Foundation has a successful track record completing grants similar to this one, where we administer the grant and the work is undertaken and completed by key partners. In addition, the Foundation gives out over \$2 million in grants annually and has processes in place to review grant outcomes. Elgee, Rehfeld, Mertz, the largest accounting firm in Southeast Alaska, provides the accounting and bookkeeping services for the Foundation. The Foundation convenes sector area meetings, working with partners to identify priority needs and increase efficiency and effectiveness in addressing those needs. Established in 2000, the Foundation is a hub for health and social

⁴ ARC Framework: <http://arcframework.org/>

⁵ <https://www.acmhs.com/programs-services/child-family-services/alaska-child-trauma-center>

⁶ Initiative for Community Engagement: <http://ice.aasb.org/>

services funding with the goal of promoting philanthropy and effectively responding to the needs of our community. Following are the qualifications and experience of our key partners who have the expertise needed to successfully carry out this project.

Qualifications and Experience of Key Partners:

Collaborative Learning for Educational Achievement and Resilience (CLEAR) has been developed at Washington State University and guided by Christopher Blodgett, Ph.D. a nationally recognized expert in trauma informed schools. He and his team have implemented and refined the CLEAR model in schools in Washington, Oregon and California.

Juneau School District: The point person at the Juneau School District is Theodore Wilson, the Director for Teaching and Learning. Curriculum development and professional development are major components of his role in the district. Mr. Wilson was a principal for ten years in Juneau and prior to that he was a teacher for many years. He developed a unique and very successful arts program while a principal which teaches disadvantage students the violin.

The Department of Education and Early Development (DEED): The School Health, Safety and Alternative Instruction unit at the Department will be the key contact for the project. They are currently working on statewide Trauma Informed Learning Modules for school districts which would be considered an introduction to the concepts for educators with more extensive module development being informed by this project. Sharon Fishel is the point person for this project. She has degrees in education and counseling. Ms. Fishel has taught in bush Alaska, and for the past 14 years has worked for the DEED. Currently she manages the federal AWARE (Advancing Wellness and Resilience in Education) grant which works with alternative high schools counselor around issues of trauma. She is the statewide suicide prevention council chairperson.

The Alaska Mental Health Board and the Advisory Board on Alcoholism and Drug Abuse: These Governor appointed boards have been the primary agency gathering and analyzing data and a key player in educating Alaskan around the topic of ACEs and what to do about them. Patrick Sidmore, has completed these analyses for the boards and has presented to 90 different audiences around the state and his analysis of the economic impacts of Alaska's ACEs was presented at an international mental health conference in June 2016. Mr. Sidmore holds degrees in Elementary Education, Economics and Management, and Social Work.

Partnerships

Additional community partners already engaged in the local discussions around trauma informed schools include, the local domestic violence shelter, the teen recreation center (municipal facility), the local youth behavioral health center, a tribal group, principals and school counselors, the local National Education Association representative, and more.

Significant discussions on the local project will be ongoing as new stakeholders become interested.

There is a current local effort to dedicate one half of one percent of the city sales tax to funding children’s programs with a vote in the fall. This group is closely aligned with the trauma informed school group with overlapping membership. It is likely that the groups will merge in the near future.

The statewide entities are continuing to meet on developing a trauma informed school framework. Discussions between the Association of Alaska School Boards, Department of Education and Early Development and the Alaska Mental Health Board are becoming formalized. Initial contact has been made with the Department of Education at the University and their joining will be aggressively pursued in the summer.

Budget

The estimate for the first year of the project is \$160,000. The funding commitments include:

Trust (TBD)	\$50,000
Juneau School District	\$50,000
DHSS Block grant funding	\$40,000
AMHB	\$10,000
Juneau Community Foundation	\$5,000
Rasmuson – anticipated	TBD
Premera – anticipated	TBD

Total committed assuming Trust support to date: \$155,000

Estimated costs can be seen below. CLEAR requires that there be a fiscal agent other than the school system managing the payments. CLEAR uses an administrative rate of 26% as negotiated by WSU but can work with funders who have a lower administrative rate requirement. We anticipate negotiating a lower rate. CLEAR also works on a reimbursed cost method so there is a likely potential to gain some economy of scale savings by serving three schools through the provision of combined travel expenses, salaries etc. These estimates are based on cost estimates provided by CLEAR from other projects and adjusted for Alaska costs.

Juneau Community Foundation will serve as the fiscal agent for the project and handle the grant reporting required.

Estimated Budget				
Total Costs	Year 1 FY18	Year 2 FY19	Year 3 FY20	Total
Start Up	53,000			\$ 50,000
School 1	55,000	\$ 55,000	TBD	\$ 165,000
School 2	55,000	\$ 53,000	TBD	\$ 165,000
School 3	\$50,000			
Costs	163,000	\$ 113,000	\$ 163,000	\$ 383,000
ACF	\$ 5,000	\$ 50,000	\$ 100,000	\$ 150,000
Grant request	\$ 163,000	\$ 63,000	TBD	\$ 226,000

* Local contribution to this project will grow as the project proceeds and it becomes more widely known.

The Alaska Mental Health Board and Advisory Board on Alcoholism and Drug Abuse will conduct evaluation of the project as an in-kind portion of the project with other partnering state entities. Local contribution will increase moving forward.

Implementation and Major Milestones

Year One

Year one begins with a three hour foundational training. School staff will develop a rich relationship with their CLEAR consultant during the course of seven one hour professional development sessions and two full days a month of observation and consultation in the building. A CLEAR Team will be established and meet monthly with the consultant. Staff will also participate in regional meetings and data sharing.

State organizations will be working to develop emerging data from the project and completing a first year report. Using result the framework for an Alaskan trauma informed school will be in development.

Year Two

Year two begins with a one hour all staff training. New staff can view the foundational training from year one online. CLEAR consultants deliver five one hour professional development sessions to build on year one content and continue to consult with staff two full days a month. The CLEAR Team continues to meet to begin sustainability planning.

State staff doing the evaluation will be exploring data and reporting to the relevant state entities monitoring the project. Presentations will be done to gather input from community and professional sources to inform the ongoing work.

Year Three

Funding for this year will be developed from local Alaska sources. Year three begins with a one hour all staff training. New staff can view the foundational training from year one online. CLEAR consultants deliver three one hour professional development sessions and remain in the building for consultation. The CLEAR Team continues to meet with a strong focus on sustainability planning and transition of the CLEAR consultant.

Final evaluation will be completed and a plan developed to share that information as appropriate.

Public Relations and Communications

This project will be promoted locally and statewide through traditional and social media. Local reporting on the results will be done by the Juneau Community Foundation and the Juneau School District. Statewide efforts will be coordinated by the Alaska Mental Health Board and Advisory Board on Alcoholism and Drug abuse through their *Over Coming ACEs in Alaska* project which is in its fifth year.

EVALUATION CRITERIA

The evaluation component of the project would be done jointly by the Department of Education and Early Development, the Alaska Division of Behavioral Health, the Alaska Mental Health Board and Advisory Board on Alcoholism and Drug Abuse Staff. Efforts will be made to work with University of Alaska students as interest is demonstrated to also augment research and evaluation capabilities beyond the initial scope e.g. dissertations or theses. Social-Emotional as well as academic measures will be incorporated into the evaluation. Results would be shared with all the parties to the agreement and allow for adjustments to the program as it moves through the three year process. CLEAR does ongoing evaluation with which to inform their work – this will also be shared as part of the evaluation component. In other CLEAR schools increases in Language and Math skills have been found as well as a lowering of discipline measures which remove children from instruction.

The broader outcome of this project is to develop a framework for Alaska schools and school districts which allows them to become trauma informed. At present, for those schools in Alaska working on trauma informed school projects, they have to invent it each time. This framework will be developed by the Alaska Mental Health Board, the Advisory Board on Alcoholism and Drug Abuse, The Department of Education and Early Development, The Division of Behavioral Health and other key stakeholders. It will be informed, however, by the experiences of the local schools in the “real world”.

SUSTAINABILITY

There is recognition that using a contractor based in western Washington State is not a sustainable model for Alaska. CLEAR has expressed an interest and willingness to train an Alaskan provider in their methodology which would allow for the Alaska agency to be the resource for their methodology should it prove to be desirable going forward in Alaska. The Alaska Mental Health Board and the Advisory Board on Alcoholism and Drug Abuse are working on procuring resources to make that possible.

Additionally, there may be sources of funding available in the school system itself if the pilot shows significant impacts.

MEMO

To: Russ Webb, Finance Committee Chair
Date: August 1, 2017
Re: Focus Area Allocation – Housing & Long Term Services and Supports
Fiscal Year: FY18
Amount: \$150,000
Grantee: Kenai Peninsula Independent Living Center (KPILC)
Project Title: Home- and Community-Based Services Flex Funds

REQUESTED MOTION:

Approve a \$150,000 FY18 Housing & Long Term Services and Supports focus area allocation to the Kenai Peninsula Independent Living Center for the Home and Community Based Services Flex Funds project.

Assigned Program Staff: **Katie Baldwin-Johnson**

PROJECT DESCRIPTION

This request proposes to provide flexible grant funds, otherwise known as “flex funds” to KPILC as part of a one-year pilot targeting transitional services and supports for 7-10 Trust beneficiaries currently placed in API or an out of state residential placement, from the communities of Fairbanks, Kenai Peninsula, Cordova/Valdez and Kodiak. Trust funding will provide funds that may be used, when requested and approved, to assist with supporting transition of beneficiaries from institutional placement back to their home community. The pilot period will enable KPILC to work with SDS and other key providers formerly involved with the complex behavioral solutions group for individuals with IDD, to establish the mechanism and procedures for evaluating and approving requests, and to establish policy and reporting requirements to include individual beneficiary outcomes. The Trust anticipates placing this resource in future years (FY19 forward) under the oversight of SDS, which houses the behavioral health liaison to API and out of state residential placement entities.

During the FY18/19 budget planning process, Trust stakeholders voiced concern about the lack of access to flexible funding that can be used to address the needs of beneficiaries with IDD as they transition to the community from institutional placement. These flex funds will be used specifically for the individual basic or emergent needs, to support the beneficiary’s family in assisting a family member, address provider capacity to maximize supports and enhance community connections toward building more natural supports. Flex funding will also offset some of the environmental costs associated with technology and home modifications to support maximum independence, many of which are not currently covered or exceed existing funding limits. The ultimate goal for the flexible funds is to leverage other resources to support Trust

beneficiaries to achieve a meaningful life in their home or community and cover some of the costs that are barriers for them to receive the support in less restrictive settings.

Currently, providers are using unrestricted funds to cover these costs which is becoming more challenging as state-driven budget cuts continue. For example, it is important for staff to meet the individual prior to a transition from an institution, learn from their current care providers, establish a safe environment (incorporate technology) and appropriately staff levels both for the transition as well as long term, all of which are currently not funded. Families and community members have difficulty accessing proper training/coaching to individualize the support for their loved ones who want to be part of their lives. Flexible funding may advance an individual's employment goals and meet an interim period of supports when there is lag time between when SDS or DVR funding can be leveraged to address needed services and supports. In our more rural or remote communities, providers may have general skills but need specialized training and/or technical assistance from specialized providers that can minimize the need for beneficiaries to travel from their homes to urban areas for treatment.

EVALUATION CRITERIA

1. KPILC will provide the Trust with the procedure framework for managing the Trust flexible fund along with committed agency partners which will offer oversight to granting process in the future.
2. The Trust will receive a summary of items and services identified and purchased to support each individual beneficiary's transition back into the community and what the impact these items or services have on achieving their goals towards living a meaningful life.
3. Agencies through the Kenai Peninsula Independent Living Center will report to the Trust, the number of out of home placements for 1 year post- transition and description of issues/factors contributing to out of home placement.
4. KPILC will provide total cost of transitional care support per community, separating flex funding from other sources in order to quantify "total" cost of transitional supports for successful return to community placement post transition from residential placement. Reporting will delineate between Trust flex funding and other sources.

SUSTAINABILITY

Staff propose adopting a strategy within the Housing and Long Term Services and Supports focus area that offers resources to address critical unfunded components of care, which includes supports for individuals, families and agencies engaged with beneficiary transition from institutional care. With the existing funding climate both at a state and federal level, it is not realistic to expect that GF or other grant funds at this time will be able to be used as flexible funds in the next 2-5 years. In order to maximize available resources, these flex funds will model the mini-grant, assisted living and nursing home transition program guidelines to ensure that they are only used for items that other fund sources do not cover. In FY19, this funding will be awarded to Senior and Disabilities Services, who will manage through provider agreements with the regional Independent Living Centers to ensure that the funds are statewide.

WHO WE SERVE

FY18 will be considered a pilot and target 7 - 10 Trust beneficiaries who reside in Fairbanks, Kenai Peninsula, Cordova-Valdez and Kodiak areas who have transitioned into API or an out of state residential placement.

BUDGET

Personnel Services Costs	\$15,000
Personnel Services Narrative:	General administrative costs with grant oversight, purchasing of goods and services.
Other Costs	\$135,000
Other Costs Narrative:	Purchase goods and services based on individualized plans for each beneficiary.